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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/824,395

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Nongji Chen

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2292 7590 12/26/2008  
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EXAMINER

DSOUZA, JOSEPH FRANCIS A

ART UNIT

PAPER NUMBER

2611

NOTIFICATION DATE

DELIVERY MODE

12/26/2008

ELECTRONIC

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

Notice of the Office communication was sent electronically on above-indicated "Notification Date" to the following e-mail address(es):

mailroom@bskb.com

<b>Office Action Summary</b>	<b>Application No.</b>	<b>Applicant(s)</b>	
	10/824,395	CHEN ET AL.	
	<b>Examiner</b>	<b>Art Unit</b>	
	ADOLF DSOUZA	2611	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

#### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

#### Status

- 1) ☒ Responsive to communication(s) filed on 10 December 2008.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

#### Disposition of Claims

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-5, 8-11 is/are rejected.
- 7) ☒ Claim(s) 6-7 is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

#### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on \_\_\_\_\_ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

#### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

#### Attachment(s)

- |  |   |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892)                     | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

***Continued Examination Under 37 CFR 1.114***

1. A request for continued examination under 37 CFR 1.114, including the fee set forth in 37 CFR 1.17(e), was filed in this application after final rejection. Since this application is eligible for continued examination under 37 CFR 1.114, and the fee set forth in 37 CFR 1.17(e) has been timely paid, the finality of the previous Office action has been withdrawn pursuant to 37 CFR 1.114. Applicant's submission filed on 12/10/2008 has been entered.

***Response to Arguments***

2. Applicant's arguments filed 12/10/2008 have been fully considered but they are not persuasive.

- Argument: Applicant argued that:
    - (1) Would a skilled person consider modifying the arrangements in Peyla and Huang in view of McCorkle?
    - (2) If the answer to question (1) is yes, would the modification result in the claimed invention? (Remarks 12/10/2008, page 3, middle of page)
- Applicant further explained why McCormick uses multiple correlators (page 7, 1<sup>st</sup> 3 paragraphs) and argued that "McCorkle does not disclose synchronization schemes in parallel, but rather discloses having parallel channels with each channel having their own synchronization scheme" (page 6, last paragraph, 1<sup>st</sup> 2 lines).

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Response: As stated in the Response to Arguments section of the last Office Action (CTNF 7/10/2008, 1<sup>st</sup> bullet) Peyla teaches obtaining a synchronization pulse using amplitude differences. Huang teaches obtaining a synchronization pulse using phase differences. Either of these techniques can be used to obtain the synchronization pulse. It is not inconceivable that one of ordinary skill in the art can build a system that uses both these techniques combined, or in general, combine multiple techniques. Applicant stated that one use of McCorkle's system is to achieve synchronization more quickly by using different correlators (Remarks 12/10/2008, page 7, 1<sup>st</sup> paragraph; McCorkle, column 10, lines 27 - 28). This alone provides sufficient motivation to combine Peyla and Huang's and Peyla's methods into a parallel correlator system, since one of the methods may achieve synchronization more quickly than the other, depending on conditions. Also as stated in the last Office Action, combining the two methods could increase the reliability of the synchronization. The fact that McCorkle uses SNR is not relevant. McCormick's concept of using parallel correlation to improve synchronization speed and improve reliability is what Examiner contends is what one of ordinary skill in the art can easily use.

- Applicant stated that he amended claims 6 and 7 to incorporate material from claim 1. However, It appears that no new set of claims was submitted with the RCE filed on 12/10/2008.

In view of the above, Examiner has maintained his rejection as in the last Office Action.

***Claim Rejections - 35 USC § 101***

3. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

Claim 1 is rejected under 35 U.S.C. 101 as not falling within one of the four statutory categories of invention. While the claims recite a series of steps or acts to be performed, a statutory “process” under 35 U.S.C. 101 must (1) be tied to another statutory category (such as a particular apparatus), or (2) transform underlying subject matter (such as an article or material) to a different state or thing. The instant claims, such as independent claim 1, do not positively tie to another statutory category (such as a particular apparatus) that accomplishes the claimed method steps, and therefore do not qualify as a statutory process. Claim 1 recites method steps but does not state any apparatus that is used to perform those method steps.

***Claim Rejections - 35 USC § 103***

4. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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5. Claims 1 – 5, 8 - 11 are rejected under 35 U.S.C. 103(a) as being unpatentable over Peyla et al. (US 6,539,063) in view of Huang et al. (EP 0896457 A1, Symbol Synchronization for MCM signals with guard interval; which has been provided by the Applicant in his IDS) and further in view of McCorkle et al. (US 6,505,032).

Regarding claim 1, Peyla discloses a method of generating a synchronization pulse representing a symbol boundary (column 2, lines 23 – 42; column 6, line 51 – column 7, line 16) in a signal, data in each guard space corresponding to part of the data in a respective useful period (column 2, lines 27 – 30; wherein applicant has admitted this in his Remarks [9/11/2007, page 9, 1<sup>st</sup> 3 lines]) the method comprising processing pairs of samples of a received signal which are separated by a period corresponding to the useful part of the symbol (Fig. 3, complex samples 60 input to the circuit; column 6, line 52 – column 7, line 16) by deriving a first signal dependent upon the relationship between the amplitudes of the samples of each pair (Fig. 3, element 195 output; column 11, lines 38 – 51; wherein the first signal is the output of element 195).

Peyla does not disclose a second signal dependent on the phases of each pair that is used for detecting the synchronization pulse and combining the first and second signals to obtain the synchronization pulse.

In the same field of endeavor, however, Huang a second signal dependent upon the relationship between the phases of the samples of each pair (Fig. 4; Abstract (57) on

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page1; paragraphs 32 – 33, 38; wherein the circuitry in Fig. 4 is used to obtain the synchronization pulse using the phase).

In the same field of endeavor, however, McCorkle discloses combining the first and second signals and generating the synchronization pulse in response to the resultant signal changing in a predetermined manner (Fig. 2, elements 31-1 – 31-N; column 9, lines 39 - 44; column 10, lines 24 – 39; wherein the plurality of correlators is interpreted as including the amplitude correlator and the phase correlator).

Therefore it would have been obvious to one having ordinary skill in the art, at the time the invention was made to have one of the correlators as the amplitude correlator disclosed by Peyla (first signal) and another of the correlators as the phase correlator disclosed by Huang (second signal) since this would increase the reliability of the detection process, as disclosed by McCorkle.

Regarding claim 2, Peyla discloses the synchronization pulse is generated in response to detecting a change in the resulting signal corresponding to termination of processing of the guard space data of the first of multiple versions of the signal subject to respective different delays (Abstract; column 2, lines 16 – 42; wherein the synchronization detection is the symbol boundary detection and the multiple versions of the signal subject to different delays are the leading and trailing portions).

Regarding claim 3, Peyla discloses the step of low-pass filtering the first signal so as to reduce variations in the value of the first signal for successive sample pairs (Fig. 3, element 145; column 10, lines 56 - 63).

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Regarding claim 4, Peyla does not disclose low pass filtering the phase signal.

In the same field of endeavor, however, Huang discloses the step of low-pass filtering the second signal so as to reduce variations in the value of the second signal for successive sample pairs (Fig. 3, element 17; page 4, paragraph 25; Fig. 4, element 166; wherein the phase value are filtered by the averaging filter 17 and 166).

Therefore it would have been obvious to one having ordinary skill in the art, at the time the invention was made, to use the method, as taught by Huang, in the system of Peyla because this would smoothen out the phase signal, as is well known in the art.

Regarding claim 5, Peyla does not disclose detecting high levels of phase difference.

In the same field of endeavor, however, Huang discloses the step of filtering the second signal by tracking values corresponding to relatively high levels of phase difference (Fig. 3, element 16; page 5, paragraphs 29 – 31).

Therefore it would have been obvious to one having ordinary skill in the art, at the time the invention was made, to use the method, as taught by Huang, in the system of Peyla because this would allow for detection of abrupt phase changes, as disclosed by Huang.

Regarding claim 8, Peyla discloses the first, second and resultant signals are derived in such a way that the resultant signal can fluctuate at intervals which are substantially shorter than the guard space (Fig. 7a – 7d; wherein the signal fluctuations are as shown in Figs. 7c and 7d).



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Regarding claim 9, Peyla discloses a synchronization pulse representing a symbol boundary in an OFDM signal (Abstract; column 2, lines 23 – 42).

Claim 10 is directed to apparatus of the same subject matter claimed in method/steps claim 9 and therefore, is rejected as explained in the rejection of claim 9 above.

Regarding claim 11, Peyla discloses a receiver comprising means for receiving (Fig. 2, element 45) and demodulating a signal (Fig. 2, element 100), the receiver comprising apparatus as claimed in claim 10

#### ***Allowable Subject Matter***

6. Claims 6 - 7 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

#### ***Other Prior Art Cited***

7. The prior art made of record and not relied upon is considered pertinent to the applicant's disclosure.

The following patents are cited to further show the state of the art with respect to synchronization in OFDM systems:

Huang et al. (US 5,991,289) discloses a synchronization method and apparatus for guard interval-based OFDM signals.

Harikumar et al. (US 20020106035) discloses a spectrally constrained impulse-shortening filter for a discrete multi-tone receiver.

Seki (US 5,602,835) discloses an OFDM synchronization demodulation circuit.

Isaksson et al. (US 5,652,772) discloses a Method and apparatus for synchronization in digital transmissison systems of the OFDM type.

Schmidl et al. (US 5,732,113) discloses timing and frequency synchronization of OFDM signals.

Davies et al. (US 5,953,311) discloses timing synchronization in a receiver employing orthogonal frequency division multiplexing.

Yonge (US 6,074,086) discloses synchronization of OFDM signals with improved windowing.

#### ***Contact Information***

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to ADOLF DSOUZA whose telephone number is (571)272-1043. The examiner can normally be reached on Monday through Friday from 8:00 AM to 5:00 PM EST.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, David Payne can be reached on 571-272-3024. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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